



NATIONAL INVESTMENT TRUST LIMITED

Customer Due Diligence (CDD) / Know Your Customer (KYC) & Registration Form
For Individual Investors

Invest in Trust

Type of Account: Single Joint Minor

DATE (DD / MM / YYYY):

PRINCIPAL UNIT HOLDER INFORMATION

Name of Applicant as per CNIC
Mr. Mrs. Ms. Dr. Other _____
PLEASE FILL OUT IN CAPITAL LETTERS

Father / Spouse Name as per CNIC:
PLEASE FILL OUT IN CAPITAL LETTERS

Mother's Maiden Name:
PLEASE FILL OUT IN CAPITAL LETTERS

CNIC No: _____ **Nationality:** Resident Pakistani Non - Resident Pakistani / Others (Specify): _____

Date of Issue: _____ **Expiry Date:** _____ **Passport No:** _____ **Expiry Date:** _____
(In case non - resident / foreign national)

Date of Birth (DD/MM/YYYY): ____/____/____ **Country of Birth:** _____ **Place of Birth:** _____

Mailing Address: _____

Postal Code: _____ **City:** _____ **District:** _____ **Country:** _____

Current Residential Address: _____

City: _____ **District:** _____ **Country:** _____ **Telephone No:** _____ **Cell No:** _____

Fax No: _____ **Email:** _____ **Gender:** Male Female **Marital Status:** Single Married **Religion:** Muslim Non-Muslim
In case of Minor Applicant, Please Fill Further Information on Guardian Section, Otherwise Fill The Below Fields

Occupation / Profession: Private Service Government Service Business Retired Housewife Student / Minor Unemployed Self Employed

Others (specify): _____ **Source(s) of Income:** Salary Business Savings / Investments Inheritance Pension Rental Income
 Agriculture Income **Others (specify)** _____ **Approx Monthly Income (Individual):** _____ **Expected Amount of Investment:** upto Rs. 2.5 M
 Rs. 2.5 M to Rs. 5 M Rs. 5 M to Rs. 10 M Above Rs. 10 M **Filer?** Yes No

Preferred Modes of Transactions: Online Physical Both **Expected No. of Transactions (Monthly):** _____

Expected Turnover in Account: Monthly Rs. _____ or Annually Rs. _____

Annual Income: Up to Rs. 1 M Rs. 1 M to Rs. 3 M Rs. 3 M to Rs. 6 M Rs. 6 M to Rs. 8 M Rs. 8 M to Rs. 10 M Above Rs. 10M

Name & Address of Employer / Business: _____

Registration ID: _____

BANK ACCOUNT DETAIL OF PRINCIPAL UNIT HOLDER FOR REDEMPTION AND DIVIDEND PAYMENTS

IBAN No. _____

Bank Name: _____ **Branch Name:** _____ **Branch Code:** _____ **City:** _____

1-JOINT UNIT HOLDER INFORMATION

Name of Applicant as per CNIC
Mr. Mrs. Ms. Dr. Other _____
PLEASE FILL OUT IN CAPITAL LETTERS

Father / Spouse Name as per CNIC:
PLEASE FILL OUT IN CAPITAL LETTERS

Mother's Maiden Name:
PLEASE FILL OUT IN CAPITAL LETTERS

CNIC No: _____ **Nationality:** Resident Pakistani Non - Resident Pakistani / Others (Specify): _____

Date of Issue: _____ **Expiry Date:** _____ **Passport No:** _____ **Expiry Date:** _____
(In case non - resident / foreign national)

Date of Birth (DD/MM/YYYY): ____/____/____ **Country of Birth:** _____ **Place of Birth:** _____

Mailing Address: _____

Postal Code: _____ **City:** _____ **District:** _____ **Country:** _____

Current Residential Address: _____

City: _____ **District:** _____ **Country:** _____ **Telephone No:** _____ **Cell No:** _____

Fax No: _____ **Email:** _____ **Gender:** Male Female **Marital Status:** Single Married **Religion:** Muslim Non-Muslim

Occupation / Profession: Private Service Government Service Business Retired Housewife Student / Minor Unemployed Self Employed

Others (specify): _____ **Source(s) of Income:** Salary Business Savings / Investments Inheritance Pension Rental Income
 Agriculture Income **Others (specify)** _____ **Approx Monthly Income (Individual):** _____ **Filer?** Yes No

Annual Income: Up to Rs. 1 M Rs. 1 M to Rs. 3 M Rs. 3 M to Rs. 6 M Rs. 6 M to Rs. 8 M Rs. 8 M to Rs. 10 M Above Rs. 10M

Name & Address of Employer / Business: _____

Relationship with Principal Unit Holder: _____ **%Share in Investment:** _____ **Registration ID:** _____

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2-JOINT UNIT HOLDER INFORMATION

Name of Applicant as per CNIC
Mr. Mrs. Ms. Dr. Other _____ :
PLEASE FILL OUT IN CAPITAL LETTERS

Father / Spouse Name as per CNIC:
PLEASE FILL OUT IN CAPITAL LETTERS

Mother's Maiden Name:
PLEASE FILL OUT IN CAPITAL LETTERS

CNIC No: _____ **Nationality:** Resident Pakistani Non - Resident Pakistani / Others (Specify): _____

Date of Issue: _____ **Expiry Date:** _____ **Passport No:** _____ **Expiry Date:** _____
(In case non - resident / foreign national)

Date of Birth (DD/MM/YYYY): ____/____/____ **Country of Birth:** _____ **Place of Birth:** _____

Mailing Address: _____
_____ **Postal Code:** _____ **City:** _____ **District:** _____ **Country:** _____

Current Residential Address: _____
_____ **City:** _____ **District:** _____ **Country:** _____ **Telephone No:** _____ **Cell No:** _____

Fax No: _____ **Email:** _____ **Gender:** Male Female **Marital Status:** Single Married **Religion:** Muslim Non-Muslim

Occupation / Profession: Private Service Government Service Business Retired Housewife Student / Minor Unemployed Self Employed

Others (specify): _____ **Source(s) of Income:** Salary Business Savings / Investments Inheritance Pension Rental Income
 Agriculture Income **Others (specify)** _____ **Approx Monthly Income (Individual):** _____ **Filer?** Yes No

Annual Income: Up to Rs. 1 M Rs. 1 M to Rs. 3 M Rs. 3 M to Rs. 6 M Rs. 6 M to Rs. 8 M Rs. 8 M to Rs. 10 M Above Rs. 10M

Name & Address of Employer / Business: _____

Relationship with Principal Unit Holder: _____ **%Share in Investment:** _____ **Registration ID:** _____

3-JOINT UNIT HOLDER INFORMATION

Name of Applicant as per CNIC
Mr. Mrs. Ms. Dr. Other _____ :
PLEASE FILL OUT IN CAPITAL LETTERS

Father / Spouse Name as per CNIC:
PLEASE FILL OUT IN CAPITAL LETTERS

Mother's Maiden Name:
PLEASE FILL OUT IN CAPITAL LETTERS

CNIC No: _____ **Nationality:** Resident Pakistani Non - Resident Pakistani / Others (Specify): _____

Date of Issue: _____ **Expiry Date:** _____ **Passport No:** _____ **Expiry Date:** _____
(In case non - resident / foreign national)

Date of Birth (DD/MM/YYYY): ____/____/____ **Country of Birth:** _____ **Place of Birth:** _____

Mailing Address: _____
_____ **Postal Code:** _____ **City:** _____ **District:** _____ **Country:** _____

Current Residential Address: _____
_____ **City:** _____ **District:** _____ **Country:** _____ **Telephone No:** _____ **Cell No:** _____

Fax No: _____ **Email:** _____ **Gender:** Male Female **Marital Status:** Single Married **Religion:** Muslim Non-Muslim

Occupation / Profession: Private Service Government Service Business Retired Housewife Student / Minor Unemployed Self Employed

Others (specify): _____ **Source(s) of Income:** Salary Business Savings / Investments Inheritance Pension Rental Income
 Agriculture Income **Others (specify)** _____ **Approx Monthly Income (Individual):** _____ **Filer?** Yes No

Annual Income: Up to Rs. 1 M Rs. 1 M to Rs. 3 M Rs. 3 M to Rs. 6 M Rs. 6 M to Rs. 8 M Rs. 8 M to Rs. 10 M Above Rs. 10M

Name & Address of Employer / Business: _____

Relationship with Principal Unit Holder: _____ **%Share in Investment:** _____ **Registration ID:** _____

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GUARDIAN INFORMATION (in case of minor applicant)

Name of Applicant as per CNIC: _____
Mr. Mrs. Ms. Dr. Other _____

PLEASE FILL OUT IN CAPITAL LETTERS

Father / Spouse Name as per CNIC: _____
PLEASE FILL OUT IN CAPITAL LETTERS

Mother's Maiden Name: _____
PLEASE FILL OUT IN CAPITAL LETTERS

CNIC No: _____ **Nationality:** Resident Pakistani Non - Resident Pakistani / Others (Specify): _____

Date of Issue: _____ **Expiry Date:** _____ **Passport No:** _____ **Expiry Date:** _____
(In case non - resident / foreign national)

Date of Birth (DD/MM/YYYY): ____/____/____ **Country of Birth:** _____ **Place of Birth:** _____

Mailing Address: _____

Postal Code: _____ **City:** _____ **District:** _____ **Country:** _____

Current Residential Address: _____

City: _____ **District:** _____ **Country:** _____ **Telephone No:** _____ **Cell No:** _____

Fax No: _____ **Email:** _____ **Gender:** Male Female **Marital Status:** Single Married **Religion:** Muslim Non-Muslim

Occupation / Profession: Private Service Government Service Business Retired Housewife Student / Minor Unemployed Self Employed

Others (specify): _____ **Source(s) of Income:** Salary Business Savings / Investments Inheritance Pension Rental Income

Agriculture Income Others (specify): _____ **Approx Monthly Income (Individual):** _____ **Expected Amount of Investment:** upto Rs. 2.5 M

Rs. 2.5 M to Rs. 5 M Rs. 5 M to Rs. 10 M Above Rs. 10 M **Filer?** Yes No

Preferred Modes of Transactions: Online Physical Both **Expected No. of Transactions (Monthly):** _____

Expected Turnover in Account: Monthly Rs. _____ or Annually Rs. _____

Annual Income: Up to Rs. 1 M Rs. 1 M to Rs. 3 M Rs. 3 M to Rs. 6 M Rs. 6 M to Rs. 8 M Rs. 8 M to Rs. 10 M Above Rs. 10M

Name & Address of Employer / Business: _____

_____ **Registration ID:** _____

DECLARATION

	Principal Holder	1st Joint Holder	2nd Joint Holder	3rd Joint Holder
Are you acting on behalf of another person/entity? [If yes, please provide supporting document(s)]	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are you holding a senior position in any Govt./Public office or political party? [If yes, please provide details]	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
Do you have any financial connections to offshore tax havens? [If yes, please provide details]	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are you dealing in high value items (e.g. precious metals/stones)? [If yes, please provide details]	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
Has any financial institution ever refused to open your account? [If yes, please provide details]	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

STATEMENT OF ACCOUNT DELIVERY INSTRUCTIONS

Please select any (☑) ONE nature of correspondence as per your convenience

By Email (Statement of Account will be send on transactions, Monthly and Semi-annually) OR

By Post (Statement of Account will be send on transactions and Semi-annually)

NOTE: If no option is selected, Statement of Account will be send Semi-annually through Email and if Email is not available, Statement will be send through Post.

OTHER INSTRUCTIONS

Facility of Web Portal & Mobile App Yes No

Zakat Deduction: (to be made on a stamp paper of Rs. 50/-) Yes No (If No, in case of Muslim please attach valid declaration of Principal and Joint Holders)

DECLARATION FOR CALL & SMS TRANSACTION FACILITY

Is the mobile number registered on CNIC of principal account holders? Yes No

If No, please fill the below mentioned declaration and attach valid copy of CNIC of the person on whose name the number is registered in:

I confirm that the mobile number registered in my NITL Funds account / Folio is being used by me and is registered under the name of

(Name of the person on whose name the mobile number is registered) holding CNIC number

I represent and confirm that above individual is my Father / Husband / Mother / Wife / Son / Brother / Other (blood relation compulsory)

(Please circle the relationship or mention the relationship in other) and he/she has given me permission to use the above-mentioned mobile number to manage my account with NITL Funds.

I / We would like to opt for the Call and SMS Transaction facility.

Note: In case of information mismatch - investor will not be able to avail associated services.

INVESTMENT DETAILS

Risk Profile Category	Fund Names & Codes	Sales Load	Risk of Principal Erosion
EQUITY SCHEMES	• National Investment Unit Trust - NI(U)T	3%	High
MONEY MARKET SCHEME	• NIT Money Market Fund (NIT-MMF)	Nil	Low
ASSET ALLOCATION SCHEME	• NIT Asset Allocation Fund (NIT-AAF)	0% - 2.5%	High
INCOME SCHEMES	• NIT Government Bond Fund (NIT - GBF)	1%	Medium
	• NIT Income Fund (NIT - IF)	1%	Medium
	• NIT Social Impact Fund (NIT - SIF)*	0% - 2%	Medium
SHARIAH COMPLIANT (ISLAMIC) EQUITY SCHEME	• NIT Islamic Equity Fund (NIT - IEF)	0% - 3%	High
SHARIAH COMPLIANT (ISLAMIC) MONEY MARKET SCHEME	• NIT Islamic Money Market Fund (NIT-IMMF)	0% - 1.0%	Low
SHARIAH COMPLIANT (ISLAMIC) INCOME SCHEME	• NIT Islamic Income Fund (NIT - IIF)	Nil	Medium

SELECT INVESTMENT OPTION(S)

OPTION(S) : Tick (✓) whichever is applicable

EQUITY SCHEME	SHARIAH COMPLIANT EQUITY SCHEME	INCOME SCHEMES		SECTOR SPECIFIC INCOME SCHEME
<input type="checkbox"/> NI(U)T	<input type="checkbox"/> NIT-IEF	<input type="checkbox"/> NIT-GBF	<input type="checkbox"/> NIT-IF	<input type="checkbox"/> NIT-SIF
MONEY MARKET SCHEME	SHARIAH COMPLIANT INCOME SCHEME	ASSET ALLOCATION SCHEME	SHARIAH COMPLIANT MONEY MARKET SCHEME	
<input type="checkbox"/> NIT-MMF	<input type="checkbox"/> NIT-IIF	<input type="checkbox"/> NIT-AAF	<input type="checkbox"/> NIT-IMMF	

NOTES TO THE INVESTOR:

Risk Disclaimer: Prices of units of the funds, and income from them may go up or down. In Fixed Periodic Payment Plan, the principal amount may be reduced in case sufficient returns are not earned by the Fund to cover the amount required by the Unit Holder. Therefore, the resulting payment may lead to erosion of principal. Investors are advised in their own interest to carefully read the contents of the Offering Document and Trust Deed of the respective funds, in particular the Investment Policy, Risk Disclosure, Disclaimers and Warnings before making any investment decision.

Product Information: Read the offering document and product information carefully and consult your Investment Advisor before making investment decisions. **Account Opening:** Please note that as required by the SECP, NIT reserves the right to refuse to open or terminate any Account at its discretion for reasons including unsatisfactory completion of CDD / KYC measures. CDD/KYC information is sought in compliance with Rules & Regulations governing NBFC's and NE's, which shall be applicable as amended from time to time.

DECLARATION:

I/we hereby confirm that I/we have read and understood the instructions mentioned herein which apply to National Investment Trust Limited (NIT) and its funds National Investment (Unit) Trust Fund, NIT Government Bond Fund, NIT Income Fund, NIT Social Impact Fund, NIT Islamic Equity Fund, NIT Islamic Money Market Fund, NIT Asset Allocation Fund, NIT Money Market Fund, NIT Islamic Income Fund and any other fund(s) offered by NIT from time to time, for compliance of Customer Due Diligence (CDD) and Know Your Client (KYC) procedures for transaction in Funds' units issued by NIT. I/we agree to abide by the terms, conditions, rules, regulations and other statutory requirements applicable to NIT and respective Funds. I/we hereby declare that the particulars given herein are true, correct and complete to the best of my/ our knowledge and belief; the relevant documents submitted along with this application are genuine. I/we hereby undertake to promptly inform NIT of any changes to the information provided herein and agree and accept that NIT is not liable or responsible for any losses, costs, damages arising out of any actions undertaken or activities performed by NIT on the basis of the information provided by me/us and also due to my/our not intimating/delay in intimating such changes. I/we hereby authorize NIT to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us relating to the respective Funds in which I/we may transact/have transacted including all changes, updates to such information as and when provided by me/us if such required to be submitted under the laws. I/we hereby agree to provide any additional information/Documentation that may be required by the NIT, in connection with this Application Form. I/we further confirm to have read the Trust Deed and Offering Documents of the Fund I/we wish to invest in and I/we hereby bind ourselves and agree to the contents of the same.

DOCUMENTS REQUIRED (INDIVIDUAL):

Copy of valid CNIC or Passport of principal & joint unit holder(s)
Business / Employment proof of principal & joint unit holder(s)
Copy of Valid Zakat Declaration (CZ-50) on Rs. 50 Stamp paper, separately from principal and joint unit holder(s)
In case of minor account please provide Guardian ship Certificate
Declaration for Self Employed, House Wife / Student (Independent), Dependent Individuals (on Rs.100 Stamp paper for non-resident)
Copy of Declaration, in case of Non-Muslim
One Year Bank Statement (For non-resident and PEP)
Income Tax & Wealth Tax Return (For non-resident and PEP)
Proof of Investment (in case income declared on NIT-R does not commensurate with investment amount)

AUTHORIZATION:

I/we hereby authorize National Investment Trust Limited to verify any/all of the submitted information related to KYC, CNIC (using NADRA Verisys), IBAN & Mobile Number.

Principal Unit Holder

1 - Joint Unit Holder

2 - Joint Unit Holder

3 - Joint Unit Holder

FOR BRANCH USE ONLY

DATE (DD / MM / YY): ____ / ____ / ____

TIME: ____ : ____ AM / PM

Branch / Distributor Name:

Registration ID (System Generated):

Account No(s):

Form reviewed and checked by:

Data entered by:

Branch Stamp & Signature of the Branch Manager / Authorized Official:

FOR UHRS RECORD SECTION USE

CNIC(s) Verification from NADRA: Yes No

Remarks: